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## FAX COVER LETTER

February 17, 2005

**TO:** Mail Stop AF  
**COMPANY:** U.S. Patent and Trademark Office  
**PHONE:**  
**FAX:** 703-872-9306

**FROM:** Rudolph P. Hofmann  
**DIRECT DIAL:** 612.607.7340  
**EMAIL:** [Rhoffman@oppenheimer.com](mailto:Rhoffman@oppenheimer.com)  
**RE:** Serial No. 09/930,786  
Attorney Docket No. 60021-375602

**COMMENTS:** I certify that this correspondence is being transmitted by facsimile to Mail Stop AF, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 via facsimile number 703-872-9306 on February 17, 2005.



Cristine Williams

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Serial No 09/930,786

In reply to Office Action mailed November 18, 2004

Page 1 of 12

**RECEIVED  
CENTRAL FAX CENTER****FEB 17 2005****BEST AVAILABLE COPY****PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of:

**SLOAN ET AL.**

Ser. #: 09/930,786

Filed: August 15, 2001

For: **FINANCIAL PORTFOLIO RISK  
MANAGEMENT**

Examiner: NGA B. NGUYEN

Art Unit: 3628

Atty. Docket #: 60021-375602

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February 17, 2005.  
Cristine Williams**RESPONSE AFTER FINAL**

Dear Sir:

This paper is responsive to the Office Action dated November 18, 2004. No amendments are made to the above-identified application.

**Listing of Claims** are reflected on page 2 of this paper.

**Remarks** begin on page 10 of this paper.